"Express Mail" Label No. <u>EV 330860032 US</u> Date of Deposit October 31, 2003 Attorney Docket No.: 20553C-003011US

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Helmus et al.

Application No.: Not Yet Assigned

Filed: Herewith

For: BIOACTIVE COATINGS TO PREVENT TISSUE OVERGROWTH ON ARTIFICIAL HEART VALVES

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/571,987, filed May 16, 2000 (Attorney Docket No. 20553C-003010US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Application No.: Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

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Substitute	for form 1449	B/PTO		Complete if Kn wn		
			Application Number	Not Yet Assigned		
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			Art Unit	Not Yet Assigned		
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Sheet	3	of	Attorney Docket Number	20553C-003011US		

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Examiner	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Initials*			07/01/1975	Zaffaroni et al.	Figures Appear
	AA	US-3,896,819	09/10/1975	Feijen et al.	
	AB	US-4,634,762		Silvestrini et al.	
	AC	US-4,834,755	05/30/1989	Hsu	
	AD _	US-5,047,020	09/10/1991		
	AE	US-5,053,048	10/01/1991	Pinchuk	
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	AG	US-5,417,969	05/23/1995	Hsu et al.	
	AH	US-5,447,724	09/05/1995	Helmus et al.	
	Al	US-5,607,417	03/04/1997	Batich et al.	
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	AM	US-5,879,697	03/09/1999	Ding et al.	
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			FOREIGN F	ATENT DOCUME	ENTS		
		For	eign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	l
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if know</i>	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>
	AZ	WO	95/07691	03/23/1995			$\perp \perp$
	BA	wo	96/30060	10/03/1996			<u> </u>

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	ВВ	HOLT et al.; "Endothelial Cell Binding to Dacron Modified with Polyethylene Oxice and Peptide"; ASAIO Journal 1994 pp. 858-863 Vol. 40 No. 3.			

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute	for form 1449B/	PTO	Complete if Kn wn		
			Application Number	Not Yet Assigned	
		N DISCLOSURE	Filing Date	Herewith	
STAT	<b>EMENT</b>	BY APPLICANT	First Named Inventor	Helmus et al.	
			Art Unit	Not Yet Assigned	
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Sheet	4	of	Attorney Docket Number	20553C-003011US	

NON PATENT LITERATURE DOCUMENTS						
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,,,,,,,	ВС	TWEDEN et al.; "Accelerated Healing of Cardiovascular Textiles Promoted by an RGD Peptide"; <u>Journal of Heart Valve Disease</u> 1995 pp. S90-S97 Vol. 4 Suppl. I.				
	BD	WALLUSCHECK et al.; "Improved Endothelial Cell Attachment on ePTFE Vascular Grafts Pretreated with Synthetic RGD-containing Peptides"; Eur. J. Vac. Endovasc. Surg. 1996 pp. 321-330 Vol. 12.				

Examiner	Date	
Signature	Considered	

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